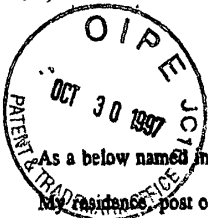


PENNIE & EDMOND DOCKET NO. 8484-018-999



DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. underneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

Chimerical Peptide-Nucleic Acid Fragment, Process For Producing The Same And Its Uses For Appropriately Introducing Nucleic Acids Into Cell Organelles and Cells

and for which a patent application:

☐ is attached hereto and includes amendment(s) filed on _____ (if applicable)
☒ was filed in the United States on December 16, 1996 as Application Serial No. _____ (for declaration not accompanying application)
 with amendment(s) filed on December 16, 1996 (if applicable)
☒ was filed as PCT international application Serial No. PCT/DE95/00775 on June 11, 1995 and was
 amended under PCT Article 19 on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION			
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
P 44 21 079.5	GERMANY	16/06/94	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

APPLICATION NUMBER	FILING DATE

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:



APPLICATION SERIAL NO.	FILING DATE	STATUS		
		PATENTED	PENDING	ABANDONED

POWER OF ATTORNEY: As a named inventor, I hereby appoint S. Leslie Mlsrock (Reg. No. 18872), Harry C. Jones, III (Reg. No. 20280), Benj A. Terzian (Reg. No. 20060), Gerald J. Plimoff (Reg. No. 20823), David Weild, III (Reg. No. 21094), Jonathan A. Marshall (Reg. No. 24614), Barry D. Rein (Reg. No. 22411), Stanton T. Lawrence, III (Reg. No. 25736), Isaac Jarkovsky (Reg. No. 22713), Joseph V. Colasanni (Reg. No. 20019), Charles E. McKamey (Reg. No. 22795), Philip T. Shannon (Reg. No. 24278), Francis E. Morris (Reg. No. 24615), Charles E. Miller (Reg. No. 24576), Gidon D. Stern (Reg. No. 27469), John J. Laufer, Jr. (Reg. No. 27814), Brian M. Poissant (Reg. No. 28462), Brian D. Coggio (Reg. No. 27624), Rory J. Radding (Reg. No. 28749), Stephen J. Harbulak (Reg. No. 29166), Donald J. Goodell (Reg. No. 19766), James N. Palik (Reg. No. 25510), Thomas E. Friebe (Reg. No. 29258), Laura A. Coruzzi (Reg. No. 30742), Jennifer Gordon (Reg. No. 30753), Jon R. Stark (Reg. No. 30111), Allan A. Fannoci (Reg. No. 30256), Geraldine F. Baldwin (Reg. No. 31232), Victor N. Balancia (Reg. No. 31231), Albert P. Halluin (Reg. No. 25227), Samuel B. Abrams (Reg. No. 30605), Steven I. Wallach (Reg. No. 35402), Marcia H. Sundeen (Reg. No. 30893), Paul J. Zegger (Reg. No. 33821), Edmond R. Bannon (Reg. No. 32110), Bruce J. Barker (Reg. No. 33291), Adriane M. Antler (Reg. No. 32605), Ann L. Gisolfi (Reg. No. 31956), SaraLynn Mandel (Reg. No. 31853), Mark A. Farley (Reg. No. 33170), James G. Markey (Reg. No. 31636), and Charles F. Hoyng (Reg. No. 35548), all of Pennie & Edmonds, whose addresses are 1155 Avenue of the Americas, New York, New York 10036, 1667 K Street N.W., Washington, DC 20006 and 2730 Sand Hill Road, Menlo Park, CA 94025, and each of them, my attorneys, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith.

PENNIE & EDMONDS DOCKET NO. 8484-018-999

SEND CORRESPONDENCE TO: PENNIE & EDMONDS 1155 AVENUE OF THE AMERICAS NEW YORK, N.Y. 10036-2711						DIRECT TELEPHONE CALLS TO: PENNIE & EDMONDS DOCKETING (212) 790-2803	
2 0 1	FULL NAME OF INVENTOR	LAST NAME Seibel	FIRST NAME Peter	MIDDLE NAME			
	RESIDENCE & CITIZENSHIP	CITY Biedenkopf-Wallau	STATE OR FOREIGN COUNTRY Germany		COUNTRY OF CITIZENSHIP Germany		
	POST OFFICE ADDRESS	STREET Obere Haimbachstraße 2	CITY Biedenkopf-Wallau	STATE OR COUNTRY Germany	ZIP CODE 35216		
2 0 2	FULL NAME OF INVENTOR	LAST NAME Seibel	FIRST NAME Andrea	MIDDLE NAME			
	RESIDENCE & CITIZENSHIP	CITY Albertshofen	STATE OR FOREIGN COUNTRY Germany		COUNTRY OF CITIZENSHIP Germany		
	POST OFFICE ADDRESS	STREET Lärchenstraße 10	CITY Albertshofen	STATE OR COUNTRY Germany	ZIP CODE 97320		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201 - Peter Seibel  DATE 21.3.97	SIGNATURE OF INVENTOR 202 - Andrea Seibel  DATE 21.3.97
--	--



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: ☒ Application of: Seibel *et al.*
☐ Patent of:

☒ Serial No.:
☐ Patent No.:

Group Art Unit: To Be Assigned

☒ Filed: December 16, 1996
☐ Issued:

Examiner: To Be Assigned

For: Chimerical Peptide-Nucleic Acid Fragment,
 Process For Producing The Same And Its Uses For
 Appropriately Introducing Nucleic Acids Into Cell
 Organelles and Cells

Attorney Docket No.:
 8484-018-999

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS [37 CFR 1.9(f) and 1.27(b)] - Independent Inventor

Assistant Commissioner for Patents
 Washington, D.C. 20231

Sir:

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled Chimerical Peptide-Nucleic Acid Fragment, Process For Producing The Same And Its Uses For Appropriately Introducing Nucleic Acids Into Cell Organelles and Cells described in

- ☐ the specification filed herewith
☒ application serial no. _____ filed December 16, 1996
☐ patent no. issued

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern, or organization
☐ persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities.
 (37 CFR 1.27)

PMP-68063.1



FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL☐ SMALL BUSINESS CONCERN☐ NONPROFIT
ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL☐ SMALL BUSINESS CONCERN☐ NONPROFIT
ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL☐ SMALL BUSINESS CONCERN☐ NONPROFIT
ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL☐ SMALL BUSINESS CONCERN☐ NONPROFIT
ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28 (b)]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, and patent issuing thereon, or any patent to which this verified statement is directed.

Send correspondence to: PENNIE & EDMONDS
1155 Avenue of the Americas
New York, N.Y. 10036-2711

Direct Telephone calls to:
PENNIE & EDMONDS
(212) 790-9090

NAME OF INVENTOR Peter Seibel	NAME OF INVENTOR Andrea Seibel
SIGNATURE OF INVENTOR <i>Peter Seibel</i>	SIGNATURE OF INVENTOR <i>Andrea Seibel</i>
DATE 21.3.97	DATE 21.3.97

PENN-68065.1

PENNIE & EDMONDS DOCKET NO. 8484-018-999

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2 0 1	FULL NAME OF INVENTOR	LAST NAME <u>Seibel</u>	FIRST NAME <u>Peter</u>	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY <u>Biedenkopf-Wallau</u> <i>Dex</i>	STATE OR FOREIGN COUNTRY <u>Germany</u>	COUNTRY OF CITIZENSHIP <u>Germany</u>	
	POST OFFICE ADDRESS	STREET <u>Obere Hainbachstraße 2</u>	CITY <u>Biedenkopf-Wallau</u>	STATE OR COUNTRY <u>Germany</u>	ZIP CODE <u>35216</u>
2 0 2	FULL NAME OF INVENTOR	LAST NAME <u>Seibel</u>	FIRST NAME <u>Andrea</u>	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY <u>Albershofen</u> <i>DG</i>	STATE OR FOREIGN COUNTRY <u>Germany</u>	COUNTRY OF CITIZENSHIP <u>Germany</u>	
	POST OFFICE ADDRESS	STREET <u>Lärchenstraße 10</u>	CITY <u>Albershofen</u>	STATE OR COUNTRY <u>Germany</u>	ZIP CODE <u>97320</u>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201 - Peter Seibel	<i>Peter Seibel</i>	SIGNATURE OF INVENTOR 202 - Andrea Seibel	<i>Andrea Seibel</i>
DATE	<u>21.3.97</u>	DATE	<u>21.3.97</u>